



Section
\$113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven D. Lacy et al. Art Unit : 2772
Serial No. : 09/420,334 Examiner : Unknown
Filed : October 18, 1999
Title : GRAPHIC DESIGN OF COMBINATORIAL MATERIAL LIBRARIES

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed November 15, 1999 (a copy of which is enclosed), applicant as a small entity submits herewith the following:

- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ A Power of Attorney by Assignee;
- ☒ An Assignment, with Form PTO-1595 cover sheet and \$40 fee;
- ☒ Payment of the basic filing fee of \$380;
- ☒ Payment of the additional independent/dependent claims fees of \$663;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration.
- ☒ A request for a one-month extension of time to February 15, 2000; with \$55 fee;
- ☒ A check in the total amount of \$1203 is attached.
- ☐ Other:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

02/20/2000 MZERHE 00000011 09420334
JL FC:215 55.00 0P

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

February 14, 2000
Date of Deposit
Signature Bridget Cougar

Bridget Cougar
Typed or Printed Name of Person Signing Certificate

Applicant : Steven D. Lacy et al.
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Filed : October 18, 1999
Page : 2

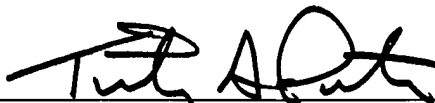
Attorney's Docket No.: 10555-004001 / 98-11CIP1

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

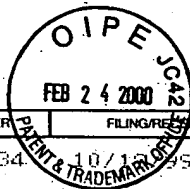
Date: _____

2/14/00



Timothy A. Porter
Reg. No. 41,258

Fish & Richardson P.C.
2200 Sand Hill Road, Suite 100
Menlo Park, CA 94025
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Facsimile: (650) 854-0875



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/420,334	10/15/99	LACY	S 10555/004001

HANS R TROESCH
FISH & RICHARDSON PC
2200 SAND HILL ROAD SUITE 100
MENLO PARK CA 94025

0222/1115

NOT ASSIGNED

2772

DATE MAILED:

11/15/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 2216

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 936 for 52 total claims over 20.
\$ 390 for 5 independent claims over 3.
\$ 0 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

J. JAVENPORT
Customer Service Center
Initial Patent Examination Division (703) 308-1202

02/28/2000 MBERHE 00000012 09420334

01 FC:201
02 FC:205
03 FC:203
04 FC:202

380.00 OP
65.00 OP
468.00 OP
195.00 OP

NTFMP FDG
11/15/99
01/15/00
05/15/00
Kmm